



Use this form to transfer your existing Stocks & Shares ISA to Cash ISA with Punjab National Bank (International) Limited. If you intend to transfer from more than one Stocks & Shares ISA provider, then you must complete an authority form for each transfer request separately. Please return the completed form to Punjab National Bank (International) Limited.  
Please write in **BLOCK CAPITALS** using black or blue ink.

Title	Mr	Mrs	Miss	Other
-------	----	-----	------	-------

First Name

Middle Name	
-------------	--

Surname	
---------	--

Permanent Residential Address	
House No/Name	

Street / Area

City / Town

County / State	
----------------	--

Post Code

Do you have a National Insurance Number?	Yes	No
--	-----	----

If yes, please enter the number here								
--------------------------------------	--	--	--	--	--	--	--	--

Date of Birth

Gender (M/F)

**Home Tele No.**  
(Including area code)

[illegible]

E-mail Address	
----------------	--

Occupation	
------------	--

Nationality	
Chinese	60%
American	20%
British	10%
Other	10%

Country	
---------	--

Please provide details of the existing ISA Provider with who you currently hold the Stocks and Shares ISA

Name of exiting ISA Provider	
------------------------------	--

Address of existing ISA Provider	
----------------------------------	--

Existing ISA Provider Sort Code

--	--	--	--	--	--

Existing ISA Account Number / Reference

[illegible]

As well as being subject to the terms and conditions of the Cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your stocks and shares ISA. For example:

- Some stocks and shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g. when deciding which investments are sold to facilitate the transfer.
- Your existing ISA provider may need you to give them specific information before the transfer can go ahead.
- There may be outstanding fees to pay before the transfer goes ahead.

If you are not sure what conditions apply please check with your existing stocks and share ISA provider before completing this form.

**Q1.** Do you want to transfer all or part of your ISA? *tick here* ☐ All ☐ Part

If you answered 'All' to question 1 this will result in all investments currently being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to your Punjab National Bank (International) Limited Cash ISA in its entirety.

**Q2.** Have you subscribed to this stocks and shares ISA in the current tax year? *tick here* ☐ Yes ☐ No

If you answered 'All' to question 1, please go directly to the **Transfer authority** section below. If you answered 'Part' to question 1, please answer questions 3-6 below.

## Partial Transfers

**Q3.** If you answered yes to Q2 do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)? tick here Yes ☐ No ☐

**Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.**

**Q4.** If you only want to transfer your subscriptions from the current tax year, tick here ☐

**OR**

If you only want to transfer all of your subscriptions from previous tax years, tick here ☐

**OR**

If you only want to transfer some of your subscriptions from previous tax years (as well as investments relating to current year subscription if you answered yes to Q3) tick here and provide sale instructions by answering Q5 ☐

### **Q5. Stocks, Share and / or Funds that you wish to sell**

*Please check your selection is permitted by your existing stocks and shares ISA provider prior to submitting the form. Please note that you may be contacted by your existing ISA provider to agree what to sell.*

Please use the box below to give instructions to your current stocks and shares ISA provider with regard to what specific value or Tax Year(s) should be transferred and/or what investments should be realised to meet the transfer.

If needed, please list all investments that you hold with your existing Stocks and Shares ISA provider that you wish to sell and transfer the proceeds to your new Cash ISA with Punjab National Bank (international) Limited. Alternatively, you may provide the information by supplying a separate list, e.g. by annotating a valuation statement from your provider.

Description of Investment	Investment Indicator e.g. ISIN, Sedol, Cuip etc. (if known)	Number or Value of Stocks, Shares, Units or specify "All" to sell the entire holding

### **Q6.** Uninvested cash to be transferred

Please say how much of your uninvested cash balance in your stocks and shares ISA you want to transfer

£

**OR,** If you want to transfer all of your uninvested cash balance, tick here ☐

## Transfer Authority

I declare that this transfer form has been completed to the best of my knowledge and belief. I agree to the Cash ISA terms and Conditions.

I authorise my existing ISA provider as specified above to sell investments and transfer the ISA in cash (account number above) to Punjab National Bank (International) Limited. I authorise my existing ISA provider to provide Punjab National Bank (International) Limited any information, written or non-written, concerning the stocks and shares ISA and to accept any instruction from them relating to the stocks and shares ISA being transferred.

Where I must give notice to close or transfer part of the existing stocks and shares ISA, or the existing stocks and shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either: (tick the appropriate box)

1. ☐ wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with the transfer;

**OR**

2. ☐ depending on the terms and conditions, carry out the transfer as soon as possible - I accept any consequential loss of income, capital or charges that may be applied.

Signature:

Date:

D

D

M

M

Y

Y

Y

Y

## Transfer acceptance (to be completed by new ISA Provider)

We, Punjab National Bank (international) Limited are willing to accept this ISA transfer in line with the customer's instructions above, as long as the following conditions are met.

- The transfer proceeds are made up of cash deposits only.

- Where the customer has shown above that they want to transfer subscriptions from the current tax year, these must not be more than the current overall ISA subscription limit.

### **Residual Income**

- We do not accept residual income payments.

- If you have any questions regarding these payments, please contact your existing Stock and Shares ISA provider.

**Name, address and contact details of the New Cash ISA provider.**

**Bank - Punjab National Bank (International) Limited, Sort Code 60-95-00**

Branch \_\_\_\_\_ (Business Hours 9AM to 5PM)

Address \_\_\_\_\_ Telephone No \_\_\_\_\_

Email ID \_\_\_\_\_

Date

D

D

M

M

Y

Y

Y

Y

**Please make the CHEQUE in favour of: Punjab National Bank (International) Limited a/c customer name & forward to the above address.**