

## Account Opening Form Trusts

For Office Use:

CUST ID 1:

ACCOUNT NO.1:

CUST ID 2:

ACCOUNT NO.2:

(Please mark (tick) wherever applicable)

.....Branch

Purpose of Opening the Account

- ☐ Day-to-day transactions
- ☐ Receipts and Payments, Standing Orders & Direct debits
- ☐ Savings and Investments
- ☐ Other (give details)

Type Of Account

☐ Current ☐ Savings (GBP only)

Other (pl. specify)

Currency of the Account ☐ GBP ☐ USD ☐ EUR

### Requirements

Issue cheque book for use on the above account

YES ☐ NO ☐

Access to Internet Banking

YES ☐ NO ☐

Statement Frequency ☐ Monthly ☐ Yearly

### Account Details

Full name of trust

Date of Establishment

Country in which the trust was established

Nature and Purpose of the trust

Is the Entity a charity? YES ☐ NO ☐

If so, is the charity registered? YES ☐ NO ☐ Charity Registration Number

Name of Settlor (if any)

Name of all Trustees (if different from signatories)

Name	Date of Birth	Country of Residence
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

Name or classes of beneficiaries

Name	Date of Birth	Country of Residence
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

Source of funds for the account

Country(s) of Operation

Current Correspondence Address

Registered Address (only if different to current)

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Telephone Number

Fax Number

Email Address

Details (Individual/Entity 1)

Full Name

Date of Birth

Residential Address

Relationship to trust  
(settlor, beneficiary, trustee,  
protector / controller)

Trustee

YES

☐

NO

☐

If Yes,

Legal entity type of Trustee

Country of Incorporation of the trustee

Details (Individual/Entity 2)

Full Name

Date of Birth

Residential Address

Relationship to trust  
(settlor, beneficiary, trustee,  
protector / controller)

Trustee

YES

☐

NO

☐

If Yes,

Legal entity type of Trustee

Country of Incorporation of the trustee

Details (Individual/Entity 3)

Full Name

Date of Birth

Residential Address

Relationship to trust  
(settlor, beneficiary, trustee,  
protector / controller)

Trustee

YES

☐

NO

☐

If Yes,

Legal entity type of Trustee

Country of Incorporation of the trustee

Details (Individual/Entity 4)

Full Name

Date of Birth

Residential Address

Relationship to trust  
(settlor, beneficiary, trustee,  
protector / controller)

Trustee

YES

☐

NO

☐

If Yes,

Legal entity type of Trustee

Country of Incorporation of the trustee

Details (Individual/Entity 5)

Full Name

Date of Birth

Residential Address

Relationship to trust  
(settlor, beneficiary, trustee,  
protector / controller)

Trustee

YES

☐

NO

☐

If Yes,

Legal entity type of Trustee

Country of Incorporation of the trustee

Details (Individual/Entity 6)

Full Name

Date of Birth

Residential Address

Relationship to trust  
(settlor, beneficiary, trustee,  
protector / controller)

Trustee

YES

☐

NO

☐

If Yes,

Legal entity type of Trustee

Country of Incorporation of the trustee

## Settlers, Beneficiaries, Trustees and Authorised Signatories

### Details (Individual/Entity 7)

Full Name   
Date of Birth   
Residential Address  
  
  
Relationship to trust   
(settlor, beneficiary, trustee,  
protector / controller)  
Trustee YES ☐ NO ☐  
If Yes,  
Legal entity type of Trustee  
  
Country of Incorporation of the trustee

### Details (Individual/Entity 9)

Full Name   
Date of Birth   
Residential Address  
  
  
Relationship to trust   
(settlor, beneficiary, trustee,  
protector / controller)  
Trustee YES ☐ NO ☐  
If Yes,  
Legal entity type of Trustee  
  
Country of Incorporation of the trustee

### Details (Individual/Entity 8)

Full Name   
Date of Birth   
Residential Address  
  
  
Relationship to trust   
(settlor, beneficiary, trustee,  
protector / controller)  
Trustee YES ☐ NO ☐  
If Yes,  
Legal entity type of Trustee  
  
Country of Incorporation of the trustee

### Details (Individual/Entity 10)

Full Name   
Date of Birth   
Residential Address  
  
  
Relationship to trust   
(settlor, beneficiary, trustee,  
protector / controller)  
Trustee YES ☐ NO ☐  
If Yes,  
Legal entity type of Trustee  
  
Country of Incorporation of the trustee

### Additional Information Regarding Other Accounts

Do you have an Account with another Bank: YES ☐ NO ☐

Name of the Bank

### Account Usage

International Payments ☐ Yes ☐ No  
(Inward & Outward)

Cash Deposits ☐ Yes ☐ No

Expected Credits per month

Countries To/From Which International  
Payments will be made/received

Expected Debits per month

1)

2)

3)

4)

5)

## General Agreement

I/We request the Punjab National Bank (International) Limited (the “Bank”) to open the account in the above names and information.

I/We declare that the above information is true and correct to the best of my/our knowledge.

I/We agree that any new account opened immediately or on a future date will be subject to the PNBIL Account Terms and Conditions (available on our website) and as amended from time to time.

I/We undertake that I/we will not claim any interest on any of our Term deposit/s made from time to time after the maturity date unless and until we have made a specific request to renew the deposit.

I/We agree to comply with the Bank’s rules with regard to the conduct of the accounts. I/We resolve to provide to the Bank in writing any changes in personal details or circumstances that may change from time to time.

I/We acknowledge that the issue and usage of debit/ATM card (the “Card”) is governed by the PNBIL Account Terms and Conditions (available on our website) and as amended from time to time. In the event that I/we do not agree to any of the modified terms and conditions I/we will surrender the debit/ATM card to the Bank and shall cut into and through the magnetic strip. I/We authorize the Bank to debit my/our account to the amount drawn under the Card and for the fees and charges for the use of the Card.

I/We abide by the rules governing the usage of the Bank’s Internet and Telephone banking and also undertake to ratify and confirm all that the user/s do/es or cause/s to do through these facilities. This undertaking should continue to be valid until and unless I/We revoke it by written notice to you.

I understand that the data provided by us or already in the Punjab National Bank (International) Limited’s (“PNBIL” or “the Bank”) records will be provided to the Back Office of PNBIL in India for processing and may be communicated to the Bank’s corporate office in India who may, for regulatory or statistical purposes, provide information to the Indian Regulatory Authorities.

A full explanation of how the Bank uses your personal information and how it is shared is set out in our ‘Privacy Policy’ a copy of which is available on our website [www.pnbint.com](http://www.pnbint.com).

(1) When you apply to us to open an account, PNB(I)L will check the following records about you:

- Our own;
- Personal and business records at credit reference agencies (CRAs). When CRAs receive a search from us they will place a search footprint on your credit file that may be seen by other lenders. They supply to us both public (including the electoral register) and shared credit and fraud prevention information.
- Those at fraud prevention agencies (FPAs).
- If you are a director, we will seek confirmation, from credit reference agencies, that the residential address that you provide is the same as that shown on the restricted register of directors’ usual addresses at Companies House.

We will make checks to assess your application, verify your identity, to prevent and detect crime and money laundering. We may also make periodic searches at CRAs and FPAs to manage your account with us.

(2) Information on applications will be sent to CRAs and will be recorded by them. Including information on your business and its proprietors and CRAs may create a record of the name and address of your business and its proprietors if there is not one already.

(3) If you give us false or inaccurate information and we suspect or identify fraud we will record this and may also pass this information to FPAs and other organisations involved in crime and fraud prevention.

(4) We and other organisations may access and use from other countries the information recorded by fraud prevention agencies.

(5) Your data may also be used for other purposes for which you give your specific permission or, in very limited circumstances, when required by law or where permitted under the terms of the data protection legislation.

The CRAs have published an information notice that explains how they use your personal data. This notice (referred to as “CRAIN”) can be found here:

You can contact the CRAs currently operating in the UK; the information they hold may not be the same so it is worth contacting them all.

- **Call Credit**, consumer Services Team, PO Box 491, Leeds, LS3 1WZ or call 0870 0601414
- **Equifax PLC**, Credit File Advice Centre, PO Box 3001, Bradford, BD1 5US or call 0870 010 0583 or log on to [www.mvculifax.co.uk](http://www.mvculifax.co.uk)
- **Experian**, Consumer Help Service, PO Box 8000, Nottingham NG80 7WF or call 0844 4818000 or log on to [www.experian.co.uk](http://www.experian.co.uk)

By signing below, I/we agree that I/we have read and understood this General Agreement.

### Marketing consent

The Bank will send you information by post or email about the Bank’s other products and services that we think might be of interest to you from time to time. If you would prefer to not receive this marketing information please tick here. ☐

I/we declare that I/we have read and understood the PNBIL Account Terms and Conditions and agree to abide by them.

ACCOUNT HOLDER HEREBY UNDERTAKES TO NOTIFY CHANGE OF ADDRESS TO THE BANK AS SOON AS POSSIBLE.

Account will be operated by (strike which are applicable)

☐ Either or Survivors ☐ Jointly by Two ☐ Jointly by All ☐ Any one or Survivors

SIGNATORY 1 SIGNATURE	
Signature	Date

Name And Position

SIGNATORY 2 SIGNATURE	
Signature	Date

Name And Position

SIGNATORY 3 SIGNATURE	
Signature	Date

Name And Position

SIGNATORY 4 SIGNATURE	
Signature	Date

Name And Position

## Draft of Resolution

To Punjab National Bank (International) Limited

We certify that a resolution of the.....(herein after referred to as the "Firm") of..... was passed at a meeting of the Governing Body/Managing Committee duly convened and held on..... and has been duly recorded in the minutes book of the Firm.

It was resolved that:

1. Punjab National Bank (International) Limited (the "Bank") be appointed the Bankers of the Firm, regarding the Club/Society/Charity Trust account/s (delete as appropriate). The Bank is hereby authorised and requested to open or continue the Bank Accounts on behalf of the Firm immediately or as and when required at a future date.

2. To honor all financial instruments and orders expressed to be drawn, accepted, made or given on behalf of the Firm at any time or times whether the banking account or accounts of the Firm are in creditor overdrawn or any overdraft is increased by any payments into or out of the account. This does not in any way limit the Bank's right to refuse to allow any overdraft or increase of overdraft.

3. To comply with all instructions to deliver or dispose of any securities, documents or property held by the Bank on behalf of the Firm to hold the Firm liable on all agreements and indemnities in connection with the issue of letter of credit, drafts, and telegraphic transfers and with all banking transactions. This is subject to any such financial instruments, orders, instructions, agreements and indemnities being signed by any of the persons in their different capacities as mentioned below:

4. To treat all financial instruments and orders as endorsed on behalf of the Firm and to deal with them provided such endorsements are signed by:

5. To cancel all existing mandates (if any) in force at the date of this resolution with regard to the Firm's said Account/s such mandates are hereby terminated. Provided that all authorities, instructions, instruments and transactions given, made, issued, entered into or authenticated in accordance with any existing mandate and purporting to have been given, made, issued, entered into or authenticated prior to receipt by the Bank, of notice of this resolution shall have effect as between the Firm and the Bank as though this resolution had never been passed.

6. A list of the names and specimen signatures of the persons currently authorised to sign this resolution shall be provided to the Bank.

7. The aforementioned mandate and list of names shall remain in force until the Bank receives a duly certified copy of a further resolution rescinding or amending the earlier resolution.

The Bank be supplied with:

- A copy of the Firm's Rules and Regulations certified as being true, complete and up-to-date;
- The Firm's Certificate of Registration (wherever applicable) (to be copied and duly returned);
- The Copies of any resolutions concerning the Bank mandate, which may be passed from time to time;
- The copy of Financial Statements for the last three years;
- The Firm agrees that any indebtedness or liability incurred by the Firm under this authority shall in the absence of any express written agreement by the Bank to the contrary be due and payable on demand.
- The Bank be and is by this resolution authorised to provide the Firm's auditors for the time being and from time to time with such information as the Firm's auditors may request from time to time until notice in writing to the contrary is received by the Bank.
- The Firm agrees to provide to the Bank in writing any changes in details or circumstances that may change from time to time.
- The Firm agrees that it will not claim any interest on any of its Term deposit/s made from time to time after the maturity date unless and until the Firm makes a specific request to renew the deposit.
- The Secretary/Trustee shall, as and when necessary, supply to the Bank a list of the current Governing body members and, if applicable, other officials authorised to sign with specimen signatures and the Bank may act on such lists signed by the Secretary/Trustee.

These resolutions shall be communicated to the Bank and shall constitute the Firm's Mandate to the Bank and shall remain in force until an amended resolution can be passed by the Governing Body/Managing Committee and copy thereof, certified by the Secretary/Trustee or the Secretary / Trustee acting or purporting to act on behalf of the Firm is received by the Bank. In this resolution the expressions "Secretary" and "Trustee" shall mean the current Secretary/Trustee of the Firm and shall, in the case of Secretary shall include any joint Secretary, assistant Secretary or temporary Secretary. We certify that the signatures at Section II of this account opening form are those of all the Governing Body/Managing Committee and of any other Officers of the Firm authorised to sign, and that such signatures are the genuine signatures of such persons and that such signatures operate as the specimen signatures of each of such persons.

CHAIRMAN

Signature

Date

Name in Capitals

CHAIRMAN

Signature

Date

Name in Capitals

## CHECK LIST

- ☐ Trust Deed
- ☐ Memorandum and Article of Association / Deed of the Charity / Constitution Document Charity/Association registration document (if applicable)
- ☐ Letter of Bank reference (if applicable)
- ☐ Individual ID for each Controlling Individual, Beneficiary (if applicable) and/or Authorised Signatory - Original or certified copies of following documents:
- ☐ Current passport or photo-card full UK driving license
- ☐ Recent (not older than 3 months) Bank statement or utility invoice (telephone, gas, electricity, water, council rate) with full name and address

## For Internal Use Only

Validation	Identity	Address	Credit Agency check	Telephone	Employment details	Signature	Bank reference	Mode of account operation taken
1st Individual/Entity	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
2nd Individual/Entity	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
3rd Individual/Entity	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
4th Individual/Entity	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
5th Individual/Entity	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
6th Individual/Entity	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
7th Individual/Entity	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
8th Individual/Entity	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
9th Individual/Entity	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
10th Individual/Entity	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>

## Additional Information

CUST TYPE  Country Code  Account Opening Method

SME FLAG: Small ☐ Medium ☐ Large ☐ Charity ☐ Non-Charity ☐ Face to Face ☐

Risk Transfer Country code (RTCC)  Non Face to Face ☐

Security Analysis Code(SC)

Security Extent Code Secured ☐ Unsecured ☐ Partially Secured ☐

Name Of Rating Agency FTCH ☐ MOOD ☐ SAP ☐ DBRS ☐ ECDG ☐ UNRATED ☐

Rating

Type Of Rating Short ☐ Long ☐

## ACCOUNT OPENED BY

Signature Date

NAME OF THE SIGNATORY

DESIGNATION

## ACCOUNT VERIFIED BY

Signature Date

NAME OF THE SIGNATORY

DESIGNATION



(Authorised by the Prudential Regulation Authority (PRA) and regulated by the Financial Conduct Authority and PRA)

**Corporate Office:** 1 Moorgate, London EC2R 6JH

Customer support: 08008499229

FAX: 020 7796 1015

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)

Website: [www.pnbint.com](http://www.pnbint.com)

## Annexure II

### **SELF CERTIFICATION FORM: ENTITY**

To,  
The Manager  
Punjab National Bank (International) Limited  
Branch: \_\_\_\_\_  
\_\_\_\_\_

#### **RE: International Tax Compliance Regulations under Automatic Exchange of Information (AEOI)**

Regulations in the UK based on the United States' Foreign Account Tax Compliance Act ("FATCA"), the OECD's Common Reporting Standard ("CRS"), EU's Directive on Administrative Co-operation (DAC) and UK's Crown Dependencies and Overseas Territories ("CDOT") all commonly known as Automatic Exchange of Information (AEOI) require Punjab National Bank (International) Limited to collect and report certain information about an account holder's tax residence. Each jurisdiction has its own rules for defining tax residence, and jurisdictions have provided information on how to determine if you are resident in the jurisdiction.

In general, you will find that tax residence is the country/jurisdiction in which you operate. Special circumstances may cause you to be resident elsewhere or resident in more than one country/jurisdiction at the same time (dual residency). If you are a U.S. corporate or tax resident under U.S. law, you should indicate that you are a U.S. tax corporate on this form and you also need to fill in an IRS Form W-9.

Please fully complete this form.

#### **1. Legal Entity Details**

Legal Name of Entity \_\_\_\_\_  
\_\_\_\_\_

Residence Address (including country): \_\_\_\_\_  
\_\_\_\_\_

Mailing Address (including country): \_\_\_\_\_  
\_\_\_\_\_

Country of incorporation/organization: \_\_\_\_\_

Corporate Office: 1, Moorgate, City Of London, EC2R 6JH

We are a call away, please call Customer Support Number: 0800 849 9229, (Monday to Friday, 0900AM to 0500 PM)

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)

## 2. Tax Residence

Please provide ALL countries of tax residence of the entity and associated tax identification number ("TIN") for each country:

Countries of tax residence	Tax Identification Number (TIN)	Reason for no TIN*

\*If you do not have a tax identification number, please provide one for following reasons in the above table:

**Reason A** - The country/jurisdiction where the entity is resident does not issue TINs to its residents.

**Reason B** – The entity is otherwise unable to obtain a TIN or equivalent number. (Please explain why the entity is unable to obtain a TIN in the below table if you have selected this reason) .

**Reason C** - No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction).

## 3. CRS Classification {Please tick (✓) whichever is applicable:

S.N.	Question	Yes	No	Instructions
i)	Is the entity a <b>Depository Institution</b> , <b>Custodial Institution</b> or a <b>Specified Insurance Company</b> ?			If yes, go to section 5. If no, go to question ii).
ii)	Is the entity an <b>Investment Entity</b> ?			If yes, go to question iii). If no, go to section 5.
iii)	Is the entity an <b>Investment Entity</b> because: <ul style="list-style-type: none"> <li>its gross income is primarily attributable to investing, reinvesting or trading in Financial Assets; and</li> <li>it is managed by another Financial Institution?</li> </ul>			If yes, go to question iv). If no, go to section 5.
iv)	Is the <b>Investment Entity</b> resident in a <b>CRS Participating Jurisdiction</b> ?			If yes, go to section 5. If no, go to section 4.



v)	Is the entity's stock regularly traded on an established securities market?			If yes, go to section 5. If no, go to question vi).
vi)	Is the entity <b>related</b> to another entity the stock of which is regularly traded on an established securities market?			If yes, go to section 5. If no, go to question vii).
vii)	Is the entity a <b>Governmental Entity, International Organisation or a Central Bank</b> , or wholly owned by any of the foregoing?			If yes, go to section 5. If no, go to question viii).
viii)	Does 50% or more of entity's gross income for preceding calendar year or other appropriate reporting period come from passive income and 50% or more of the assets held during the preceding calendar year or other appropriate reporting period produce passive income?			If yes, go to section 4. If no, go to question viii).
ix)	Substantially, does all activities of the entity consist of holding (in whole or part) the outstanding stock of, or providing financial services to, a subsidiary that is not a Financial Institution and not operating as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes?			If yes, go to section 5. If no, go to question ix).
x)	Is the entity not yet operating a business and has no prior operating history but is investing capital into assets with the intent to operate a business that is not Financial Institution and was organized less than 2 years ago?			If yes, go to section 5. If no, go to question xi).
xi)	Has the entity not been a Financial Institution in the last five years but is in the process of liquidating its assets or reorganizing to continue or recommence operations as an entity that is not a Financial Institution?			If yes, go to section 5. If no, go to question xii).
xii)	Does the entity primarily engage in financing and hedging transactions with, or for, related entities that are not Financial Institutions and does the entity not provide financing or hedging services to unrelated entities, provided that the group of any such related entities is primarily engaged in			If yes, go to section 5. If no, go to question xiii).



(Authorised by the Prudential Regulation Authority (PRA) and regulated by the Financial Conduct Authority and PRA)

**Corporate Office:** 1 Moorgate, London EC2R 6JH

Customer support: 08008499229

FAX: 020 7796 1015

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)

Website: [www.pnbint.com](http://www.pnbint.com)

	a business other than that of a Financial Institution?			
xiii)	Is the entity a non-profit organization, exempt from tax, one that has no shareholders that have proprietary or beneficial interests in its income or assets, not permitted to distribute income or assets to persons outside of the entity's charitable activities, and obligated by local law required to distribute assets to a government or other non-profit organisation upon liquidation?			If yes, go to section 5. If no, go to section 4.

#### 4. Passive Non-Financial Entity

The entity is a Passive Non-Financial Entity under the Common Reporting Standard. Please go to **Section 5** and also provide the required details of any controlling persons in Annex 1 (use additional sheets, if there are more controlling persons) with respect to the entity named in Section 1 of this form.

#### 5. Declaration

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete. I undertake to advise Punjab National Bank (International) Limited within 30 days of any change in circumstances which affects the tax residency status or causes the information contained herein to become incorrect or incomplete, and to provide Punjab National Bank (International) Limited with a suitably updated self-certification and Declaration within 30 days of such change in circumstances.

**Full name of Account Holder:** \_\_\_\_\_

**Signature:** \_\_\_\_\_

**Capacity:** \_\_\_\_\_

**Date:** \_\_\_\_\_

#### *Appendix 1 - Controlling Persons*

Corporate Office: 1, Moorgate, City Of London, EC2R 6JH

We are a call away, please call Customer Support Number: 0800 849 9229, (Monday to Friday, 0900AM to 0500 PM)

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)



(Authorised by the Prudential Regulation Authority (PRA) and regulated by the Financial Conduct Authority and PRA)

**Corporate Office:** 1 Moorgate, London EC2R 6JH

Customer support: 08008499229

FAX: 020 7796 1015

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)

Website: [www.pnbint.com](http://www.pnbint.com)

### Controlling Person 1

First Name and Surname: \_\_\_\_\_

Residence Address (including town/city and country): \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Place of Birth (town/city and country): \_\_\_\_\_

### Countries of Tax Residence

Please provide ALL of your countries of tax residence and associated tax identification number ("TIN") for each country.

Countries of tax residence	Tax Identification Number (TIN)*	Reason for no TIN**

\*For UK residents, the Tax Identification Number shall be National Insurance Number (NINO).

\*\*If you do not have a tax identification number, please provide one for following reasons in the above table:

**Reason A**—The country where you are tax resident does not issue TINs.

**Reason B**—You have not been able to obtain a TIN. Please provide the reasonable explanation in the table above.

**Reason C**— No TIN is required. This reason should only be given if the authorities of the country of tax residence do not require a TIN to be disclosed.

### Type of Controlling Person

Corporate Office: 1, Moorgate, City Of London, EC2R 6JH

We are a call away, please call Customer Support Number: 0800 849 9229, (Monday to Friday, 0900AM to 0500 PM)

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)



(Authorised by the Prudential Regulation Authority (PRA) and regulated by the Financial Conduct Authority and PRA)

**Corporate Office:** 1 Moorgate, London EC2R 6JH

Customer support: 08008499229

FAX: 020 7796 1015

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)

Website: [www.pnbint.com](http://www.pnbint.com)

Type of Entity	Type of Controlling Person	Please tick correct box
Legal Person	Control by Ownership	
	Control by Other Means	
	Senior Manager Official	
Trust	Settlor	
	Trustee	
	Protector	
	Beneficiary	
	Other	
Legal Arrangement that is not a trust	Equivalent of settlor	
	Equivalent of trustee	
	Equivalent of protector	
	Equivalent of beneficiary	
	Equivalent of other	

### **Controlling Person 2**

Corporate Office: 1, Moorgate, City Of London, EC2R 6JH

We are a call away, please call Customer Support Number: 0800 849 9229, (Monday to Friday, 0900AM to 0500 PM)

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)



(Authorised by the Prudential Regulation Authority (PRA) and regulated by the Financial Conduct Authority and PRA)

**Corporate Office:** 1 Moorgate, London EC2R 6JH

Customer support: 08008499229

FAX: 020 7796 1015

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)

Website: [www.pnbint.com](http://www.pnbint.com)

First Name and Surname: \_\_\_\_\_

Residence Address (including town/city and country): \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Place of Birth (town/city and country): \_\_\_\_\_

### Countries of Tax Residence

Please provide ALL of your countries of tax residence and associated tax identification number ("TIN") for each country.

\*For UK residents, the Tax Identification Number shall be National Insurance Number (NINO).

Countries of tax residence	Tax Identification Number (TIN)*	Reason for no TIN**

\*\*If you do not have a tax identification number, please provide one for following reasons in the above table:

**Reason A**—The country where you are tax resident does not issue TINs.

**Reason B**—You have not been able to obtain a TIN. Please provide the reasonable explanation in the table above.

**Reason C**— No TIN is required. This reason should only be given if the authorities of the country of tax residence do not require a TIN to be disclosed.

Corporate Office: 1, Moorgate, City Of London, EC2R 6JH

We are a call away, please call Customer Support Number: 0800 849 9229, (Monday to Friday, 0900AM to 0500 PM)

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)



(Authorised by the Prudential Regulation Authority (PRA) and regulated by the Financial Conduct Authority and PRA)

**Corporate Office:** 1 Moorgate, London EC2R 6JH

Customer support: 08008499229

FAX: 020 7796 1015

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)

Website: [www.pnbint.com](http://www.pnbint.com)

### Type of Controlling Person

Type of Entity	Type of Controlling Person	Please tick correct box
Legal Person	Control by Ownership	
	Control by Other Means	
	Senior Manager Official	
Trust	Settlor	
	Trustee	
	Protector	
	Beneficiary	
	Other	
Legal Arrangement that is not a trust	Equivalent of settlor	
	Equivalent of trustee	
	Equivalent of protector	
	Equivalent of beneficiary	
	Equivalent of other	

Corporate Office: 1, Moorgate, City Of London, EC2R 6JH

We are a call away, please call Customer Support Number: 0800 849 9229, (Monday to Friday, 0900AM to 0500 PM)

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)



(Authorised by the Prudential Regulation Authority (PRA) and regulated by the Financial Conduct Authority and PRA)

**Corporate Office:** 1 Moorgate, London EC2R 6JH

Customer support: 08008499229

FAX: 020 7796 1015

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)

Website: [www.pnbint.com](http://www.pnbint.com)

---

## **GLOSSARY OF TERMS**

Under CRS over 100 countries have committed to exchange information of financial account. These countries are known as **Participating Jurisdictions**.

Participating countries then further agree to exchange information through agreement in place. Countries that have done this are **reportable Jurisdictions**.

**“Reportable Person”** A Reportable Person is an individual (or entity) that is tax resident in a Reportable Jurisdiction under the laws of that jurisdiction. The Account Holder will normally be the “Reportable Person”; however, in the case of an Account Holder that is a Passive NFE, a Reportable Person also includes any Controlling Persons who are tax resident in a Reportable Jurisdiction.

A financial account held by a reportable person is a **reportable account**.

**Tax Residency:** Tax residency is the country where a person is resident for tax purposes.

**Entity:** The term “Entity” means a legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation. **Sole Proprietor** shall be treated as Individuals under CRS

**“Passive NFE” (Non-Financial entity):** Under the CRS a “Passive NFE” means any NFE that is not an Active NFE. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution is also treated as a Passive NFE for purposes of the CRS.

**Controlling person:** This is a natural person who exercises control over an entity. It will depend on the legal structure of the entity.

**“TIN” (including “functional equivalent”):** The term “TIN” means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction.

For further information please visit: <http://www.oecd.org/tax/automatic-exchange/about-automatic-exchange>

---

Corporate Office: 1, Moorgate, City Of London, EC2R 6JH

We are a call away, please call Customer Support Number: 0800 849 9229, (Monday to Friday, 0900AM to 0500 PM)

Email: [customersupport@pnbint.com](mailto:customersupport@pnbint.com)